Nonlinear Optics 5A5513 (2003)
Lecture notes

## Lecture 7

So far, this course has mainly dealt with the dependence of the angular frequency of the light and molecular interaction strength in the description of nonlinear optics. In this lecture, we will now end this development of the description of interaction between light and matter, in favour of more engineering practical techniques for describing the theory of an experimental setup in a certain geometry, and for reducing the number of necessary tensor elements needed for describing a mediumof a certain crystallographic point-symmetry group.

## Motivation for analysis of susceptibilities in rotated coordinate systems

For a given experimental setup, it is often convenient to introduce some kind of reference coordinate frame, in which one for example express the wave propagation as a linear motion along some Cartesian coordinate axis. This laboratory reference frame might be chosen, for example, with the $z$-axis coinciding with the direction of propagation of the optical wave at the laser output, in the phase-matched direction of an optical parametric oscillator (OPO), after some beam aligning mirror, etc.

In some cases, it might be so that this laboratory frame coincide with the natural coordinate frame ${ }^{1}$ of the nonlinear crystal, in which case the coordinate indices of the linear as well as nonlinear susceptibility tensors take the same values as the coordinates of the laboratory frame. However, we cannot generally assume the coordinate frame of the crystal to coincide with a conveniently chosen laboratory reference frame, and this implies that we generally should be prepared to spatially transform the susceptibility tensors to arbitrarily rotated coordinate frames.

Having formulated these spatial transformation rules, we will also directly benefit in another aspect of the description of nonlinear optical interactions, namely the reduction of the susceptibility tensors to the minimal set of nonzero elements. This is typically performed by using the knowledge of the so called crystallographic point ymmetry group of the medium, which essentially is a description of the spatial operations (rotations, inversions etc.) that define the symmetry operations of the medium.

As a particular example of the applicability of the spatial transformation rules (which we soon will formulate) is illustrated in Figs. 1 and 2. In Fig. 1, the procedure for analysis of sum or frequency difference generation is outlined. Starting from the description of the linear and nonlinear susceptibility tensors of the medium, as we previously have derived the relations from a first principle approach in Lectures 1-6, we obtain the expressions for the electric polarization densities of the medium as functions of the applied electric fields of the optical wave inside the nonlinear crystal. These polarization densities are then inserted into the wave equation, which basically is derived from Maxwell's equations of motion for the electromagnetic field. In the wave equation, the polarization densities act as source terms in an otherwise homogeneous equation for the motion of the electromagnetic field in vacuum.

As the wave equation is solved for the electric field, here taken in complex notation, we have solved for the general output from the crystal, and we can then design the experiment in such a way that an optimal efficiency is obtained.

[^0]
(Nonlinear wave equation inside crystal)
$$
\Rightarrow \quad \mathbf{E}_{\omega_{k}}=\mathbf{E}_{\omega_{k}}(z), \quad k=1,2,3,4
$$
(Solution for optical fields)
Figure 1. The setup in which the orientation of the laboratory and crystal frames coincide.
In Fig. 1, this outline is illustrated for the case where the natural coordinate frame of the crystal happens to coincide with the coordinate system of the laboratory frame. In this case, all elements of the susceptibilities taken in the coordinate frame of the crystal (which naturally is the coordinate frame in which we can obtain tabulated sets of tensor elements) will coincide with the elements as taken in the laboratory frame, and the design and interpretation of the experiment is straightforward.

However, this setup clearly constitutes a rare case, since we have infinitely many other possibilities of orienting the crystal relative the laboratory coordinate frame. Sometimes the experiment is designed with the crystal and laboratory frames coinciding, in order to simplify the interpretation of an experiment, and sometimes it is instead necessary to rotate the crytal, in order to achieve phase-matching of nonlinear process, as is the case in for example most schemes for second-order optical parametric amplification.

If now the crystal frame is rotated with respect to the laboratory frame, as shown in Fig. 2, we should make up our mind in which system we would like the wave propagation to be analyzed. In some cases, it might be so that the output of the experimental setup is most easily interpreted in the coordinate frame of the crystal, but in most cases, we have a fixed laboratory frame (fixed by the orientation of the laser, positions of mirrors, etc.) in which we would like to express the wave propagation and interaction between light and matter.

Laboratory frame $\left(x^{\prime}, y^{\prime}, z^{\prime}\right) \quad$ Crystal frame $(x, y, z)$ [rotated relative $\left(x^{\prime}, y^{\prime}, z^{\prime}\right)$ ]

(Nonlinear wave equation inside crystal)

$$
\Rightarrow \quad \mathbf{E}_{\omega_{k}}=?
$$

(Solution for optical fields)
Figure 2. The setup in which the crystal frame is rotated relative the laboratory frame.
In Fig. 2, we would, in order to express the nonlinear process in the laboratory frame, like to obtain the naturally appearing susceptibilities $\chi_{x y z}^{(2)}, \chi_{x x x}^{(2)}$, etc., in the laboratory frame instead, as $\chi_{x^{\prime} y^{\prime} z^{\prime}}^{(2)}, \chi_{x^{\prime} x^{\prime} x^{\prime}}^{(2)}$, etc.

Just to summarize, why are then the transformation rules and spatial symmetries of the meduim so important?

- Hard to make physical conclusions about generated optical fields unless orientation of the laboratory and crystal frames coincide.
- Spatial symmetries often significantly simplifies the wave propagation problem (by choosing a suitable polarization state and direction of propagation of the light, etc.).
- Useful for reducing the number of necessary elements of the susceptibility tensors (using Neumann's principle).


## Optical properties in rotated coordinate frames

Consider two coordinate systems described by Cartesian coordinates $x_{\alpha}$ and $x_{\alpha}^{\prime}$, respectively. The coordinate systems are rotated with respect to each other, and the relation between the coordinates are described by the [ $3 \times 3$ ] transformation matrix $R_{a b}$ as

$$
\begin{equation*}
\mathbf{x}^{\prime}=\mathbf{R} \mathbf{x} \quad \Leftrightarrow \quad x_{\alpha}^{\prime}=R_{\alpha \beta} x_{\beta} \tag{5.40}
\end{equation*}
$$

where $\mathbf{x}=(x, y, z)^{\mathrm{T}}$ and $\mathbf{x}^{\prime}=\left(x^{\prime}, y^{\prime}, z^{\prime}\right)^{\mathrm{T}}$ are column vectors. The inverse transformation between the coordinate systems is similarly given as

$$
\begin{equation*}
\mathbf{x}=\mathbf{R}^{-1} \mathbf{x}^{\prime} \quad \Leftrightarrow \quad x_{\beta}=R_{\alpha \beta} x_{\alpha}^{\prime} \tag{5.41}
\end{equation*}
$$



Figure 3. Illustration of proper rotation of the crystal frame $(x, y, z)$ relative to the laboratory reference frame $\left(x^{\prime}, y^{\prime}, z^{\prime}\right)$, by means of $x_{\alpha}^{\prime}=R_{\alpha \beta} x_{\beta}$ with $\operatorname{det}(\mathbf{R})=1$.

(a)
$\qquad$

(b)


Figure 4. The coordinate transformations (a) $\mathbf{x}=(x, y, z) \mapsto \mathbf{x}^{\prime}=(-x,-y, z)$, constituting a proper rotation around the $z$-axis, and (b) the space inversion $\mathbf{x} \mapsto \mathbf{x}^{\prime}=-\mathbf{x}$, an improper rotation corresponding to, for example, a rotation around the $z$-axis followed by an inversion in the $x y$-plane.

We should notice that there are two types of rotations that are encountered as transformations:

- Proper rotations, for which $\operatorname{det}(\mathbf{R})=1$. (Righthanded systems keep being righthanded, and lefthanded systems keep being lefthanded.)
- Improper rotations, for which $\operatorname{det}(\mathbf{R})=-1$. (Righthanded systems are transformed into lefthanded systems, and vice versa.)
The electric field $\mathbf{E}(\mathbf{r}, t)$ and electric polarization density $\mathbf{P}(\mathbf{r}, t)$ are both polar quantities that transform in the same way as regular Cartesian coordinates, and hence we have descriptions of these quantities in coordinate systems $(x, y, z)$ and $\left(x^{\prime}, y^{\prime}, z^{\prime}\right)$ related to each other as

$$
E_{\mu}^{\prime}(\mathbf{r}, t)=R_{\mu u} E_{u}(\mathbf{r}, t) \quad \Leftrightarrow \quad E_{u}(\mathbf{r}, t)=R_{\mu u} E_{\mu}^{\prime}(\mathbf{r}, t),
$$

and

$$
P_{\mu}^{\prime}(\mathbf{r}, t)=R_{\mu u} P_{u}(\mathbf{r}, t) \quad \Leftrightarrow \quad P_{u}(\mathbf{r}, t)=R_{\mu u} P_{\mu}^{\prime}(\mathbf{r}, t)
$$

respectively. Using these transformation rules, we will now derive the form of the susceptibilities in rotated coordinate frames.

## First order polarization density in rotated coordinate frames

From the transformation rule for the electric polarization density above, using the standard form as we previously have expressed the electric field dependence, we have for the first order polarization
density in the primed coordinate system

$$
\begin{aligned}
P_{\mu}^{(1) \prime}(\mathbf{r}, t) & =R_{\mu u} P_{u}^{(1)}(\mathbf{r}, t) \\
& =R_{\mu u} \varepsilon_{0} \int_{-\infty}^{\infty} \chi_{u a}^{(1)}(-\omega ; \omega) E_{a}(\omega) \exp (-i \omega t) d \omega \\
& =R_{\mu u} \varepsilon_{0} \int_{-\infty}^{\infty} \chi_{u a}^{(1)}(-\omega ; \omega) R_{\alpha a} E_{\alpha}^{\prime}(\omega) \exp (-i \omega t) d \omega \\
& =\varepsilon_{0} \int_{-\infty}^{\infty} \chi_{\mu \alpha}^{(1) \prime}(-\omega ; \omega) E_{\alpha}^{\prime}(\omega) \exp (-i \omega t) d \omega
\end{aligned}
$$

where

$$
\begin{equation*}
\chi_{\mu \alpha}^{(1) \prime}(-\omega ; \omega)=R_{\mu u} R_{\alpha a} \chi_{u a}^{(1)}(-\omega ; \omega) \tag{5.45}
\end{equation*}
$$

is the linear electric susceptibility taken in the primed coordinate system.

## Second order polarization density in rotated coordinate frames

Similarly, we have the second order polarization density in the primed coordinate system as

$$
\begin{aligned}
P_{\mu}^{(2) \prime}(\mathbf{r}, t) & =R_{\mu u} P_{u}^{(2)}(\mathbf{r}, t) \\
& =R_{\mu u} \varepsilon_{0} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \chi_{u a b}^{(2)}\left(-\omega_{\sigma} ; \omega_{1}, \omega_{2}\right) E_{a}\left(\omega_{1}\right) E_{b}\left(\omega_{2}\right) \exp \left[-i\left(\omega_{1}+\omega_{1}\right) t\right] d \omega_{2} d \omega_{1} \\
& =R_{\mu u} \varepsilon_{0} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \chi_{u a b}^{(2)}\left(-\omega_{\sigma} ; \omega_{1}, \omega_{2}\right) R_{\alpha a} E_{\alpha}^{\prime}\left(\omega_{1}\right) R_{\beta b} E_{\beta}^{\prime}\left(\omega_{2}\right) \exp \left[-i\left(\omega_{1}+\omega_{1}\right) t\right] d \omega_{2} d \omega_{1} \\
& =\varepsilon_{0} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \chi_{\mu \alpha \beta}^{(2)}{ }^{\prime}\left(-\omega_{\sigma} ; \omega_{1}, \omega_{2}\right) E_{\alpha}^{\prime}\left(\omega_{1}\right) E_{\beta}^{\prime}\left(\omega_{2}\right) \exp \left[-i\left(\omega_{1}+\omega_{1}\right) t\right] d \omega_{2} d \omega_{1}
\end{aligned}
$$

where

$$
\begin{equation*}
\chi_{\mu \alpha \beta}^{(2)}{ }^{\prime}\left(-\omega_{\sigma} ; \omega_{1}, \omega_{2}\right)=R_{\mu u} R_{\alpha a} R_{\beta b} \chi_{u a b}^{(2)}\left(-\omega_{\sigma} ; \omega_{1}, \omega_{2}\right) \tag{5.46}
\end{equation*}
$$

is the second order electric susceptibility taken in the primed coordinate system.
Higher order polarization densities in rotated coordinate frames
In a manner completely analogous to the second order susceptibility, the transformation rule between the primed and unprimed coordinate systems can be obtained for the $n$th order elements of the electric susceptibility tensor as

$$
\begin{equation*}
\chi_{\mu \alpha_{1} \cdots \alpha_{n}}^{(n)}\left(-\omega_{\sigma} ; \omega_{1}, \ldots, \omega_{n}\right)=R_{\mu u} R_{\alpha_{1} a_{1}} \cdots R_{\alpha_{n} a_{n}} \chi_{u a_{1} \cdots a_{n}}^{(n)}\left(-\omega_{\sigma} ; \omega_{1}, \ldots, \omega_{n}\right) \tag{5.47}
\end{equation*}
$$

## Crystallographic point symmetry groups

Typically, a particular point symmetry group of the medium can be described by the generating matrices that describe the minimal set of transformation matrices (describing a set of symmetry operations) that will be necessary for the reduction of the constitutive tensors. Two systems are widely used for the description of point symmetry groups: ${ }^{2}$

- The International system, e. g. $\overline{4} 3 m, m 3 m, 422$, etc.
- The Schönflies system, e. g. $T_{d}, O_{h}, D_{4}$, etc.

The crystallographic point symmetry groups may contain any of the following symmetry operations:

1. Rotations through integral multiples of $2 \pi / n$ about some axis. The axis is called the $n$-fold rotation axis. It is in solid state physics shown [1-3] that a Bravais lattice can contain only 2 -, $3-$, 4 -, or 6 -fold axes, and since the crystallographic point symmetry groups are contained in the Bravais lattice point groups, they too can only have these axes.

[^1]2. Rotation-reflections. Even when a rotation through $2 \pi / n$ is not a symmetry element, sometimes such a rotation followed by a reflection in a plane perpendicular to the axis may be a symmetry operation. The axis is then called an $n$-fold rotation-reflection axis. For example, the groups $S_{6}$ and $S_{4}$ have 6- and 4-fold rotation-reflection axes.
3. Rotation-inversions. Similarly, sometimes a rotation through $2 \pi / n$ followed by an inversion in a point lying on the rotation axis is a symmetry element, even though such a rotation by itself is not. The axis is then called an $n$-fold rotation-inversion axis. However, the axis in $S_{6}$ is only a 3 -fold rotation-inversion axis.
4. Reflections. A reflection takes every point into its mirror image in a plane, known as a mirror plane.
5. Inversions. An inversion has a single fixed point. If that point is taken as the origin, then every other point $\mathbf{r}$ is taken into $-\mathbf{r}$.

## Schönflies notation for the non-cubic crystallographic point groups

The twenty-seven non-cubic crystallographic point symmetry groups may contain any of the following symmetry operations, here given in Schönflies notation ${ }^{3}$ :
$C_{n} \quad$ These groups contain only an $n$-fold rotation axis.
$C_{n \mathrm{v}} \quad$ In addition to the $n$-fold rotation axis, these groups have a mirror plane that contains the axis of rotation, plus as many additional mirror planes as the existence of the $n$-fold axis requires.
$C_{n \mathrm{~h}} \quad$ These groups contain in addition to the $n$-fold rotation axis a single mirror plane that is perpendicular to the axis.
$S_{n} \quad$ These groups contain only an $n$-fold rotation-reflection axis.
$D_{n} \quad$ In addition to the $n$-fold rotation axis, these groups contain a 2 -fold axis perpendicular to the $n$-fold rotation axis, plus as many additional 2 -fold axes as are required by the existence of the $n$-fold axis.
$D_{n \mathrm{~h}} \quad$ These (the most symmetric groups) contain all the elements of $D_{n}$ plus a mirror plane perpendicular to the $n$-fold axis.
$D_{n \mathrm{~d}} \quad$ These contain the elements of $D_{n}$ plus mirror planes containing the $n$-fold axis, which bisect the angles between the 2-fold axes.

## Neumann's principle

Neumann's principle simply states that any type of symmetry which is exhibited by the point symmetry group of the medium is also possessed by every physical property of the medium.

In other words, we can reformulate this for the optical properties as: the susceptibility tensors of the medium must be left invariant under any transformation that also is a point symmetry operation of the medium, or

$$
\chi_{\mu \alpha_{1} \cdots \alpha_{n}}^{(n)^{\prime}}\left(-\omega_{\sigma} ; \omega_{1}, \ldots, \omega_{n}\right)=\chi_{\mu \alpha_{1} \cdots \alpha_{n}}^{(n)}\left(-\omega_{\sigma} ; \omega_{1}, \ldots, \omega_{n}\right)
$$

where the tensor elements in the primed coordinate system are transformed according to

$$
\chi_{\mu \alpha_{1} \cdots \alpha_{n}}^{(n)^{\prime}}\left(-\omega_{\sigma} ; \omega_{1}, \ldots, \omega_{n}\right)=R_{\mu u} R_{\alpha_{1} a_{1}} \cdots R_{\alpha_{n} a_{n}} \chi_{u a_{1} \cdots a_{n}}^{(n)}\left(-\omega_{\sigma} ; \omega_{1}, \ldots, \omega_{n}\right)
$$

where the $[3 \times 3]$ matrix $\mathbf{R}$ describes a point symmetry operation of the system.

[^2]
## Inversion properties

If the coordinate inversion $R_{\alpha \beta}=-\delta_{\alpha \beta}$, is a symmetry operation of the medium (i. e. if the medium possess so-called inversion symmetry), then it turns out that

$$
\chi_{\mu \alpha_{1} \cdots \alpha_{n}}^{(n)}=0
$$

for all even numbers $n$. (Question: Is this symmetry operation a proper or an improper rotation?)

## Euler angles

As a convenient way of expressing the matrix of proper rotations, one may use the Euler angles of classical mechanics, ${ }^{4}$

$$
\mathbf{R}(\varphi, \vartheta, \psi)=\mathbf{A}(\psi) \mathbf{B}(\vartheta) \mathbf{C}(\varphi)
$$

where

$$
\mathbf{A}(\psi)=\left(\begin{array}{ccc}
\cos \psi & \sin \psi & 0 \\
-\sin \psi & \cos \psi & 0 \\
0 & 0 & 1
\end{array}\right), \mathbf{B}(\vartheta)=\left(\begin{array}{ccc}
1 & 0 & 0 \\
0 & \cos \vartheta & \sin \vartheta \\
0 & -\sin \vartheta & \cos \vartheta
\end{array}\right), \mathbf{C}(\varphi)=\left(\begin{array}{ccc}
\cos \varphi & \sin \varphi & 0 \\
-\sin \varphi & \cos \varphi & 0 \\
0 & 0 & 1
\end{array}\right) .
$$

## Example of the direct inspection technique applied to tetragonal media

Neumann's principle is a highly useful technique, with applications in a wide range of disciplines in physics. In order to illustrate this, we will now apply Neumann's principle to a particular problem, namely the reduction of the number of elements of the second order electric susceptibility tensor, in a tetragonal medium belonging to point symmetry group 422 .


Figure 5. An object ${ }^{5}$ possessing the symmetries of point symmetry group 422.
By inspecting Tables 2 and 3 of Hartmann's An introduction to Crystal Physics ${ }^{6}$ one find that the point symmetry group 422 of tetragonal media is described by the generating matrices

$$
\mathbf{M}_{4}=\left(\begin{array}{ccc}
1 & 0 & 0 \\
0 & -1 & 0 \\
0 & 0 & -1
\end{array}\right), \quad\left[\begin{array}{c}
\text { twofold rotation } \\
\text { about } x_{1} \text { axis }
\end{array}\right]
$$

and

$$
\mathbf{M}_{7}=\left(\begin{array}{ccc}
0 & -1 & 0 \\
1 & 0 & 0 \\
0 & 0 & 1
\end{array}\right) \cdot \quad\left[\begin{array}{c}
\text { fourfold rotation } \\
\text { about } x_{3} \text { axis }
\end{array}\right]
$$

[^3]Does the 422 point symmetry group possess inversion symmetry?
In Fig. 6, the steps involved for transformation of the object into an inverted coordinate frame are shown.


Figure 6. Transformation into an inverted coordinate system $\left(x^{\prime \prime}, y^{\prime \prime}, z^{\prime \prime}\right)=(-x,-y,-z)$.
The result of the sequence in Fig. 6 is an object which cannot be reoriented in such a way that one obtains the same shape as we started with for the non-inverted coordinate system, and hence the object of point symmetry group 422 does not possess inversion symmetry.

## Step one - Point symmetry under twofold rotation around the $x_{1}$-axis

Considering the point symmetry imposed by the $\mathbf{R}=\mathbf{M}_{4}$ matrix, we find that (for simplicity omitting the frequency arguments of the susceptibility tensor) the second order susceptibility in the rotated coordinate frame is described by the diagonal elements

$$
\begin{aligned}
\chi_{111}^{(2)^{\prime}} & =R_{1 \mu} R_{1 \alpha} R_{1 \beta} \chi_{\mu \alpha \beta}^{(2)} \\
& =\sum_{\mu=1}^{3} \sum_{\alpha=1}^{3} \sum_{\beta=1}^{3} R_{1 \mu} R_{1 \alpha} R_{1 \beta} \chi_{\mu \alpha \beta}^{(2)} \\
& =\sum_{\mu=1}^{3} \sum_{\alpha=1}^{3} \sum_{\beta=1}^{3} \delta_{1 \mu} \delta_{1 \alpha} \delta_{1 \beta} \chi_{\mu \alpha \beta}^{(2)}=\chi_{111}^{(2)}, \quad \text { (identity) }
\end{aligned}
$$

and

$$
\begin{aligned}
\chi_{222}^{(2)^{\prime}} & =R_{2 \mu} R_{2 \alpha} R_{2 \beta} \chi_{\mu \alpha \beta}^{(2)} \\
& =\sum_{\mu=1}^{3} \sum_{\alpha=1}^{3} \sum_{\beta=1}^{3} R_{2 \mu} R_{2 \alpha} R_{2 \beta} \chi_{\mu \alpha \beta}^{(2)} \\
& =\sum_{\mu=1}^{3} \sum_{\alpha=1}^{3} \sum_{\beta=1}^{3}\left(-\delta_{2 \mu}\right)\left(-\delta_{2 \alpha}\right)\left(-\delta_{2 \beta}\right) \chi_{\mu \alpha \beta}^{(2)}=-\chi_{222}^{(2)} \\
& =\{\text { Neumann's principle }\}=\chi_{222}^{(2)}=0
\end{aligned}
$$

which, by noticing that the similar form $R_{3 \alpha}=-\delta_{3 \alpha}$ holds for the 333 -component (i. e. the $z z z$-component), also gives $\chi_{333}=-\chi_{333}=0$. Further we have for the 231-component

$$
\begin{align*}
\chi_{231}^{(2)^{\prime}} & =R_{2 \mu} R_{3 \alpha} R_{1 \beta} \chi_{\mu \alpha \beta}^{(2)} \\
& =\sum_{\mu=1}^{3} \sum_{\alpha=1}^{3} \sum_{\beta=1}^{3} R_{2 \mu} R_{3 \alpha} R_{1 \beta} \chi_{\mu \alpha \beta}^{(2)} \\
& =\sum_{\mu=1}^{3} \sum_{\alpha=1}^{3} \sum_{\beta=1}^{3}\left(-\delta_{2 \mu}\right)\left(-\delta_{3 \alpha}\right) \delta_{1 \beta} \chi_{\mu \alpha \beta}^{(2)}=\chi_{231}^{(2)}, \tag{identity}
\end{align*}
$$

etc., and by continuing in this manner for all 27 elements of $\chi_{\mu \alpha \beta}^{(2)^{\prime}}$, one finds that the symmetry operation $\mathbf{R}=\mathbf{M}_{4}$ leaves us with the tensor elements listed in Table 1.

| Zero elements | Identities (no further info) |
| :---: | :---: |
| $\chi_{112}^{(2)}, \chi_{113}^{(2)}, \chi_{121}^{(2)}, \chi_{131}^{(2)}$, |  |
| $\chi_{211}^{(2)}, \chi_{222}^{(2)}, \chi_{223}^{(2)}, \chi_{232}^{(2)}$, | (all other 13 elements) |
| $\chi_{233}^{(2)}, \chi_{311}^{(2)}, \chi_{32}^{(2)}, \chi_{323}^{(2)}$, |  |
| $\chi_{332}^{(2)}, \chi_{333}^{(2)}$ |  |

Table 1. Reduced set of tensor elements after the symmetry operation $\mathbf{R}=\mathbf{M}_{4}$.
Step two - Point symmetry under fourfold rotation around the $x_{3}$-axis
Proceeding with the next point symmetry operation, described by $\mathbf{R}=\mathbf{M}_{7}$, one finds for the remaining 13 elements that, for example, for the 123 -element

$$
\begin{aligned}
\chi_{123}^{(2))^{\prime}} & =R_{1 \mu} R_{2 \alpha} R_{3 \beta} \chi_{\mu \alpha \beta}^{(2)} \\
& =\sum_{\mu=1}^{3} \sum_{\alpha=1}^{3} \sum_{\beta=1}^{3} R_{1 \mu} R_{2 \alpha} R_{3 \beta} \chi_{\mu \alpha \beta}^{(2)} \\
& =\sum_{\mu=1}^{3} \sum_{\alpha=1}^{3} \sum_{\beta=1}^{3}\left(-\delta_{2 \mu}\right) \delta_{1 \alpha} \delta_{3 \beta} \chi_{\mu \alpha \beta}^{(2)}=-\chi_{213}^{(2)} \\
& =\{\text { Neumann's principle }\}=\chi_{123}^{(2)},
\end{aligned}
$$

and for the 132-element

$$
\begin{aligned}
\chi_{132}^{(2)^{\prime}} & =R_{1 \mu} R_{3 \alpha} R_{2 \beta} \chi_{\mu \alpha \beta}^{(2)} \\
& =\sum_{\mu=1}^{3} \sum_{\alpha=1}^{3} \sum_{\beta=1}^{3} R_{1 \mu} R_{3 \alpha} R_{2 \beta} \chi_{\mu \alpha \beta}^{(2)} \\
& =\sum_{\mu=1}^{3} \sum_{\alpha=1}^{3} \sum_{\beta=1}^{3}\left(-\delta_{2 \mu}\right) \delta_{3 \alpha} \delta_{1 \beta} \chi_{\mu \alpha \beta}^{(2)}=-\chi_{231}^{(2)} \\
& =\{\text { Neumann's principle }\}=\chi_{132}^{(2)},
\end{aligned}
$$

while the 111-element (which previously, by using the $\mathbf{R}=\mathbf{M}_{4}$ point symmetry, just gave an identity with no further information) now gives

$$
\begin{aligned}
\chi_{111}^{(2)^{\prime}} & =R_{1 \mu} R_{1 \alpha} R_{1 \beta} \chi_{\mu \alpha \beta}^{(2)} \\
& =\sum_{\mu=1}^{3} \sum_{\alpha=1}^{3} \sum_{\beta=1}^{3} R_{1 \mu} R_{1 \alpha} R_{1 \beta} \chi_{\mu \alpha \beta}^{(2)} \\
& =\sum_{\mu=1}^{3} \sum_{\alpha=1}^{3} \sum_{\beta=1}^{3}\left(-\delta_{2 \mu}\right)\left(-\delta_{2 \alpha}\right)\left(-\delta_{2 \beta}\right) \chi_{\mu \alpha \beta}^{(2)}=-\chi_{222}^{(2)} \\
& =\left\{\text { from previous result for } \chi_{222}^{(2)}\right\}=0 \\
& =\{\text { Neumann's principle }\}=\chi_{111}^{(2)} .
\end{aligned}
$$

By (again) proceeding for all 27 elements of $\chi_{\mu \alpha \beta}^{(2)^{\prime}}$, one finds the set of tensor elements as listed in

Table 2. (See also the tabulated set in Butcher and Cotter's book, Table A3.2, page 299.)

| Zero elements | Nonzero elements |
| :---: | :---: |
| $\chi_{111}^{(2)}, \chi_{112}^{(2)}, \chi_{113}^{(2)}, \chi_{121}^{(2)}, \chi_{122}^{(2)}$, | $\chi_{123}^{(2)}=-\chi_{213}^{(2)}$, |
| $\chi_{131}^{(2)}, \chi_{133}^{(2)}, \chi_{211}^{(2)}, \chi_{212}^{(2)}, \chi_{221}^{(2)}$, | $\chi_{132}^{(2)}=-\chi_{231}^{(2)}$, |
| $\chi_{222}^{(2)}, \chi_{223}^{(2)}, \chi_{232}^{(2)}, \chi_{233}^{(2)}, \chi_{311}^{(2)}$, | $\chi_{321}^{(2)}=-\chi_{312}^{(2)}$, |
| $\chi_{313}^{(2)}, \chi_{322}^{(2)}, \chi_{323}^{(2)}, \chi_{331}^{(2)}, \chi_{332}^{(2)}, \chi_{333}^{(2)}$ | $(6$ nonzero, 3 independent $)$ |

Table 2. Reduced set of tensor elements after symmetry operations $\mathbf{R}=\mathbf{M}_{4}$ and $\mathbf{R}=\mathbf{M}_{7}$.


[^0]:    ${ }^{1}$ The natural coordinate frame of the crystal is often chosen such that some particular symmetry axis is chosen as one of the Cartesian axes.

[^1]:    ${ }^{2}$ C. f. Table 2 of the handed out Hartmann's An Introduction to Crystal Physics.

[^2]:    ${ }^{3}$ In Schönflies notation, $C$ stands for "cyclic", $D$ for "dihedral", and $S$ for "spiegel". The subscripts h, v, and d stand for "horizontal", "vertical", and "diagonal", respectively, and refer to the placement of the placement of the mirror planes with respect to the $n$-fold axis, always considered to be vertical. (The "diagonal" planes in $D_{n \mathrm{~d}}$ are vertical and bisect the angles between the 2 -fold axes)

[^3]:    ${ }^{4}$ C. f. Herbert Goldstein, Classical Mechanics (Addison-Wesley, London, 1980).
    5 The figure illustrating the point symmetry group 422 is taken from N. W. Ashcroft and N. D. Mermin, Solid state physics (Saunders College Publishing, Orlando, 1976), page 122.
    ${ }^{6}$ Ervin Hartmann, An Introduction to Crystal Physics (University of Cardiff Press, International Union of Crystallography, 1984), ISBN 0-906449-72-3. Notice that there is a printing error in Table 3, where the twofold rotation about the $x_{3}$-axis should be described by a matrix denoted " $M_{2}$ ", and not " $M_{1}$ " as written in the table.

